

FORM PTO-1082
TRANSMITTAL FOR NEW U.S. PATENT APPLICATION

Assistant Commissioner
for Patents
Washington, D.C. 20231

BOX APPLICATIONS

Re: New U.S. Patent Application
For: AUDIO DATA RECORDING DISC
AND DRIVE THEREFOR
Inventor(s): Norihiko FUCHIGAMI
Attorney Docket: 0102/0166

Sir:

Attached hereto is the application identified above, including 52 pages of textual specification including 13 claims, and 14 sheets of drawings.

[XX] Submitted herewith is a Preliminary Amendment. Claims as calculated below are based on the Preliminary Amendment.

The Government filing fee is calculated as follows:

(Col 1)		(Col 2)		(Col 3)	SMALL ENTITY		OR	NON-SMALL ENTITY	
NO. FILED				NO. EXTRA	RATE	FEE		RATE	FEE
TOTAL	13	minus	20		x9=	0		x18=	\$
INDEP	4	minus	3	1	x40=	0		x80=	\$80
_ First Presentation, Multiple Dependent Claims					+135=	0		+270=	\$
Base Filing Fee						\$355			\$710
TOTAL FILING FEE* (accounting for possible small entity status)						\$	OR TOTAL		\$790

- [] *Reduced by one-half, as applicant(s) is/are a "small entity". A Declaration Claiming Small Entity Status:
[] is filed herewith;
[] will be filed at a later date;
[] was filed in the parent application.

[XX] Foreign priority is claimed under 35 U.S.C. § 119 from Japanese Patent Application No. 2000-223016 filed July 24, 2000.

- [] Priority document(s) will be submitted at a later date.
[XX] Priority document(s) is/are submitted herewith.

- [] There is no claim to foreign priority under 35 U.S.C. § 119.
- [XX] Executed Declaration(s) is/are submitted herewith.
- [] Executed Declaration(s) will be submitted at a later date pursuant to 37 CFR § 1.41 and § 1.53, with an appropriate surcharge under 37 CFR § 1.16(e).
- [] Formal drawing(s) is/are attached.
- [XX] Formal drawing(s) will be submitted at a later date.
- [] An Information Disclosure Statement, PTO-1449 and reference(s) cited therein is/are submitted.
- [XX] Assignment document(s) is/are submitted herewith, along with Form PTO-1595; the recordation fee of \$40.00 per document is enclosed herewith.
- [XX] A check in the amount of \$830.00 is enclosed. The Commissioner is hereby authorized to charge any deficiency under 37 CFR §§ 1.16 or 1.17, or credit any overpayment, to Deposit Account No. 50-0501. A duplicate copy of this form is attached.
- [] No payment is enclosed at this time. Full payment will be made when the executed Declaration is submitted.
- [XX] The Commissioner is hereby authorized to charge any fee deficiency, except the filing fee, *during the entire pendency of the present application*, or credit any overpayment, to Deposit Account No. 50-0501. A duplicate copy of this Form is enclosed.

Respectfully submitted,



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Date: June 28 2001